METHODS AND MEANS FOR NUCLEIC ACID SEQUENCING

The present invention relates to nucleic acid sequencing.

5 The present invention especially relates to "high-density fingerprinting", in which a panel of nucleic acid probes is annealed to nucleic acid containing a template for which sequence information is desired, with determination of the presence or absence of sequence complementary to each probe
10 within the template, thus providing sequence information. The invention is based in part on using a reference sequence at least partly related to the template, overcoming various problems with existing sequencing techniques and allowing for a very large amount of sequence to be obtained in a
15 single day using standard reagents and apparatus. Preferred embodiments allow additional advantages to be achieved. The invention also relates to algorithms and techniques for sequence analysis, and apparatus and systems for sequencing. The present invention allows for automation of a vast
20 sequencing effort, using only standard bench-top equipment that is readily available in the art.

The invention involves hybridization of a panel of probes, each probe comprising one or more oligonucleotide molecules,
25 in sequential steps determining for each probe if it hybridizes to the template or not, thus forming the 'hybridization spectrum' of the target. Preferably, the panel of probes and the length of the template strand are adjusted to ensure dense coverage of any given template
30 strand with 'indicative probes' (probes which hybridize exactly once to the template strand). The invention further involves comparing the obtained hybridization spectrum with a reference database expected to contain one or more sequences similar to the template strand, determining the

likely location or locations of the template strand within
one or more reference sequences. The invention further
allows for the hybridization spectrum of the template strand
to be compared to the expected hybridization spectrum at the
5 location or locations, thereby obtaining at least partial
sequence information of the template strand.

Although many different methods are used in genomic
research, direct sequencing is by far the most valuable. In
10 fact, if sequencing could be made efficient enough, then all
three of the major scientific questions in genomics
(sequence determination, genotyping, and gene expression
analysis) could be addressed. A model species could be
sequenced, individuals could be genotyped by whole-genome
15 sequencing and RNA populations could be exhaustively
analyzed by conversion to cDNA and sequencing (counting the
number of copies of each mRNA directly).

Other examples of scientific and medical problems that can
20 be addressed by sequencing include epigenomics (the study of
methylated cytosines in the genome - by bisulfite conversion
of unmethylated cytosine to uridine and then comparing the
resulting sequence to an unconverted template sequence),
protein-protein interactions (by sequencing hits obtained in
25 a yeast two-hybrid experiment), protein-DNA interactions (by
sequencing DNA fragments obtained after chromosome
immunoprecipitation) and many other. Thus, highly efficient
methods for DNA sequencing are desirable.

30 But in order to replace auxiliary methods such as
microarrays and PCR fragment analysis, very high sequencing
throughput is required. For example, a living cell contains
about 300,000 copies of messenger RNA, each about 2,000
bases long on average. Thus to completely sequence the RNA

in even a single cell, 600 million nucleotides must be probed. In a complex tissue composed of dozens of different cell types, the task becomes even more difficult as cell-type specific transcripts are further diluted. Gigabase
5 daily throughput will be required to meet these demands. The table below shows some estimates on the throughput required for each experiment (humans, unless indicated otherwise):

Experiment	Throughput required
Genome sequence (10x de novo)	30 Gbp
Whole-genome polymorphisms	3 Gbp
Complete haplotype map (200 individuals)	600 Gbp
Gene expression	600 Mbp
Epigenomics	3 Gbp
Ten million protein interactions	400 Mbp
Entire biosphere (one species per genus)	~ 300 Tbp

10 The present invention place all of the above within reach at reasonable cost.

Brief Description of the Figures

15 Figure 1 shows a gel image which shows the result of cleaving a cDNA sample (lane 4) with CviJ* for increasingly long time. A gradual reduction in the average fragment length towards 100 bp is observed (100 bp is the lowest fragment of the size standard, lane 3). The optimal cleavage
20 reaction is loaded in lane 1 and fragments around 100 bp are purified.

Figure 2 shows adapter ligation. Lane 1 is the size marker, lane 2 unligated fragments, lanes 3 and 4 ligated fragments. Most fragments are correctly ligated.

5   Figure 3 Shows the sample of fragments before (lane 1) and after (lane 2) circularization. Lane 3 shows the result after purification. Notice the absence of linker in lane 3.

Figure 4 shows a section of approximately 0.8 by 2.4 mm from
10 a random array slide scanned using a Tecan™ LS400 at 4 μm resolution using the 488 nm laser and 6FAM filter. Spots represent amplification products generated from individual circular template molecules.

15 Figure 5 shows the stability of short oligonucleotide probes measured by melting point analysis:

Figure 5A shows the effect of CTAB in 100 mM tris pH 8.0, 50 mM NaCl.
20

Figure 5B shows the effect of LNA in TaqExpress buffer (GENETIX, UK).

Figure 5C shows the specificity of LNA in TaqExpress buffer.
25

Figure 5D shows the effect of introducing degenerate position: 7-mer with 5 LNA (left), 7-mer with 5 LNA and 2 degenerate positions (middle), 7-mer with 3 LNA and 2 degenerate positions (right).
30

Figure 6 shows a FAM-labeled universal 20-mer probe (left panel) and a TAMRA-labeled 7-mer probe (middle), hybridized to a random array and visualized by fluorescence microscopy. The array was synthesized with two templates, both of which

should bind the universal probe but only one of which should
bind the 7-mer at the sequence CGAACCT. The image was
captured using a Nikon DS1QM CCD camera at 20x magnification
on a Nikon TE2000 inverted microscope. The right-hand panel
5 shows a color composite, demonstrating that all TAMRA-
labeled features were also FAM-positive, as expected.

Methods for DNA sequencing

10 Sanger sequencing (Sanger et al. PNAS 74 no. 12: 5463-5467,
1977) using fluorescent dideoxy nucleotides is the most
widely used method, and has been successfully automated in
96 and even 384-capillary sequencers. However, the method
relies on the physical separation of a large number of
15 fragments corresponding to each base position of the
template and is thus not readily scalable to ultra-high
throughput sequencing (the best current instruments generate
~2 million nucleotides of sequence per day).

20 Sequences can also be obtained indirectly by probing a
target polynucleotide with probes selected from a panel of
probes.

Sequencing-by-hybridization (SBH) uses a panel of probes
25 representing all possible sequences up to a certain length
(i.e. a set of all k-mers, where k is limited by the number
of probes that can fit on the microarray surface; with one
million probes, k=10 can be used) and hybridizes the
template. Reconstructing the template sequence from the set
30 of probes is complicated and made more difficult by the
inherently unpredictable nature of hybridization kinetics
and the combinatorial explosion of the number of probes
required to sequence larger templates. Even if these
problems can be overcome, the throughput will necessarily be

low, as one microarray carrying millions of probes is required for each template and the arrays are not usually reusable.

5 An alternative approach to SBH is to place the template on the solid surface and then sequentially hybridize the panel of probes. Using this approach, many templates can be sequenced in parallel, but the size of the panel of probes is necessarily limited by the sequential nature of the

10 protocol. As a consequence, only very short templates can be sequenced. In fact the expected length that can be sequenced with k-mer probes is only 2^k, or 128 nucleotides using 16384 probes (k = 7). With realistic hybridization times, such a protocol is not feasible. The authors of Drmanac et al.

15 Nature Biotech 1998 (16):54-8) work around the problem by replicating each template on hundreds of separate membranes which can then be hybridized in parallel. However, such a workaround limits throughput and places additional demands on the template preparation method.

20

Nanopore sequencing (US Genomics, U.S. Patent 6,355,420) uses the fact that as a long DNA molecule is forced through a nanopore separating two reaction chambers, bound probes can be detected as changes in the conductance between the

25 chambers. By decorating DNA with a subset of all possible k-mers, it is possible to deduce a partial sequence. So far, no viable strategy has been proposed for obtaining a full sequence by the nanopore approach, although if it were possible, staggering throughput could in principle be

30 achieved (on the order of one human genome in thirty minutes).

Various approaches have been designed for sequencing by synthesis (SBS).

In order to increase sequencing throughput it would be
desirable to be able to visualize the incorporation of each
base on a large number of templates in parallel, e.g. on a
5   glass surface or similar reaction chamber. This is achieved
by SBS (see e.g. Malamede et al. US4863849, Kumar
US5908755). There are two approaches to SBS: either a
byproduct released from each incorporated nucleotide is
detected, or a permanently attached label is detected.
10

Pyrosequencing (e.g. WO9323564) determines the sequence of a
template by detecting the byproduct of each incorporated
monomer in the form of inorganic diphosphate (PPi). In order
to keep the reactions of all template molecules
15   synchronized, monomers are added one at a time and
unincorporated monomers are degraded before the next
addition. However, homopolymeric subsequences (runs of the
same monomer) pose a problem as multiple incorporations
cannot be prevented. Synchronization eventually breaks down
20   (because lack of incorporation or misincorporation at a
small fraction of the templates add up to eventually
overwhelm the true signal), and the best current systems can
read only about 20-30 bases with a combined throughput of
about 200,000 bases/day.
25

While Sanger sequencing requires an elaborate apparatus
(i.e. a capillary) for each template, Pyrosequencing is
readily amenable to parallelization in a single reaction
chamber. US6274320 describes the use of rolling-circle
30   amplification to produce tandemly repeated linear single-
stranded DNA molecules attached to an optic fiber, analyzed
in a Pyrosequencing reaction which can then proceed in
parallel. In principle, the throughput of such a system is
limited only by the surface area (number of template

molecules), the reaction speed and the imaging equipment
(resolution). However, the need to prevent PPi from
diffusing away from the detector before being converted to a
detectable signal means that the number of reaction sites
5 must be limited in practice. In US6274320, each reaction is
constrained to occur in a miniature reaction vessel located
on the tip of an optic fiber, thus limiting the number of
sequences to one per fiber.

10 Even more limiting are the short read lengths achieved by
Pyrosequencing (<50 bp). Such short sequences are not always
useful in whole-genome sequencing, and the complex set of
balancing reactions make it difficult to extend the read
length much further. Only occasionally and for specific
15 templates have read lengths up to 100 bp been reported.

A similar scheme with detection of a released label is
described in US6255083. A scheme with sequential addition of
nucleotides and detection of a label that is then cleaved
20 off with an exonuclease is described in WO01/23610.

The principal advantage of detecting a released label or
byproduct is that the template remains free of label at
subsequent steps. However, because the signal diffuses away
25 from the template, it may be difficult to parallellize such
sequencing schemes on a solid surface such as a microarray.

The present invention in various aspects ingeniously
addresses prior art problems.
30

The present invention in one aspect provide a sequencing
method as set out in claim 1, with various embodiments as
set out in dependent claims and within the description.

Within the method of claim 1, amplifying said template
molecules by rolling-circle amplification may comprise
adding polymerase and triphosphates under conditions which
5 cause elongation of the amplification primer and strand
displacement to form a tandem-repeated amplification product
comprising multiple copies of the target sequence.

The panel of probes employed may be a full panel or a
10 partial panel as explained further below.

The reference sequence for the sequence of the template will
be a similar sequence. Similarity between a reference
sequence and a template can be measured in many ways. For
15 example, the proportion of identical nucleotide positions is
commonly used. More advanced measures allow for insertions
and deletions e.g. as in Smith-Waterman alignment and
provide a probabilistic similarity score as in Durbin et al.
"Biological Sequence Analysis" (Cambridge University Press
20 1998).

The degree of similarity required for the method of the
present invention is determined by several factors,
including the number and specificity of the probes used, the
25 quality of the hybridization data, the template length and
the size of the reference database. For example, simulations
show that under the assumption of 5 degree melting point
difference between match and mismatch probes (with 1 degree
coefficient of variation), 256 probes and using the human
30 genome as reference with 100 bp templates, then up to 5%
sequence divergence can be tolerated. This corresponds for
example to sequencing the Gorilla genome using the human
genome as reference. Further increasing the number of
probes, decreasing the length of the templates or improving

the match/mismatch discrimination allows sequences of even
lower similarity to be used as reference, e.g. 5-10%, up to
10%, 5-20%, 10-20% or up to 20%.

5 The present invention is applicable in various ways,
including in resequencing, expression profiling, analysis or
assessment of genetic variability, and epigenomics.

Nucleic acid to be sequenced may be any of interest, and may
10 be or be obtained or derived from a whole genome, BACs, one
or more chromosomes, cDNA and/or mRNA.

The input molecule or molecules may be for example be
double- stranded or single-stranded, e.g. dsDNA, DNA/RNA,
15 dsRNA, ssDNA or ssRNA.

Various embodiments may be performed as follows:

A first step (step 1) involves fragmentation, in particular
20 creating a shotgun library of short fragments. Enzymatic
and/or mechanical methods of generating fragments may be
employed, for example including:
        Enzymatic:
                o Degradation with DnaseI (in the presence of Mn^{2+}),
25   then fill-in and/or enzymatic shortening of
                dangling ssDNA ends;
                o Cutting with a moderately frequent cutter, such as
                MboI etc.;
                o Partial cutting with a very frequent cutter, such
30   as CviJI, CviJI* etc.;
                o Cutting with a mix of restriction enzymes;
        Mechanical:
                o French press;
                o Sonication;

     o Shearing;

each of which may be followed by enzymatic shortening
and end-repair;

    PCR

5   o using random priming sequences such as hexamers
        (optionally tailed with sequences for nested PCR);

      o by PCR using degenerate primers or low-stringency
        conditions;

      o by PCR using gene family-specific primers (etc.).

10

In the PCR approaches, this step may optionally be combined
with step 2 by tailing the primers with sequence introducing
an RCA (rolling circle amplification) primer annealing site.

15 Optionally following the first step a step "X" may be
performed as described further below.

The second step (step 2) (which optionally follows step X)
may involve introducing RCA primer annealing sequence. This
20 may be for example by cloning into a vector (e.g. bacterial
vector, phage etc.), then excising using restriction enzymes
placed outside the cloning site as well as the primer motif;
by ligation of double-stranded adaptors at one or both ends;
or by ligation of hairpin adaptors at each end (causes
25 simltaneous circularization). Optional additional,
functional features that may be incorporated include
features helping circularization and/or a helper oligo
binding site, where a helper oligo can serve as donor or
acceptor in FRET in downstream analyses.

30

Optionally following step 2 a step "X" may be performed as
described further below.

A third step (step 3) may involve generating single-stranded
circular DNA. This may be for example by ligation of
hairpin adaptor after melting and self-annealing end-to-end
in a maracas shape; by self-ligation of dsDNA followed by
5 melting; by ligation to a helper fragment to form a dsDNA
circle, followed by melting; by ligation of hairpin adaptors
to both ends of dsDNA in a dumbbell shape; by self-ligation
of ssDNA using helper linker (which may also serve as RCA
primer).
10

Steps 2 and 3 may optionally be combined into a single step,
for example in which circularization simultaneously
introduces the RCA primer annealing sequence and any other
desired features.
15

A fourth step (step 4) may involve rolling circle
amplification (RCA). This may be in accordance with the
following protocol:

- Anneal an RCA primer to the circular ssDNA. The primer
20   should carry a reactive moiety which can be used for
      immobilization.

- Randomly immobilize the primer/template complex to the
  surface of an activated array using the attachment
  group of the RCA primer. The density of the
25   primer/template complex on the surface should be
      optimized to allow for a maximum number of
      primer/template complexes on the surface without
      creating overlapping products after the RCA
      amplification (see below). The density of the
30   primer/template complex on the surface may be
      controlled for example by the concentration of the
      primer/template complex, by the density of attachment
      sites on the surface and/or by the reaction conditions
      (time, buffer, temperature etc.).

                                    or

- Randomly immobilize the primer to the surface of an
  activated array using the attachment group of the RCA
  primer. The density of the primer on the surface should
  be optimized to allow for a maximum number of
  primer/template complexes on the surface without
  creating overlapping products after the RCA
  amplification (see below). The density of the primer on
  the surface may be controlled for example by the
  concentration of the primer, by the density of
  attachment sites on the surface and/or by the reaction
  conditions (time, buffer, temperature etc.).

- Anneal an RCA primer to the circular ssDNA. The primer
  should carry a reactive moiety which can be used for
  immobilization.

After immobilisation and annealing:
                              then
- Add polymerase and the four dNTPs to initiate the
  rolling circle amplification.

- Optionally incorporate fluorescent label in RCA which
  may serve as fluorescence donor or acceptor in FRET.

- Optionally incorporate affinity tag in RCA which may be
  used for multiple purposes:
    o For condensation of the RCA product by internal
      cross-linking using a multivalent linker molecule
      with affinity for the tag;
    o For post-amplification labelling using a
      fluorescent label conjugated with a molecule with
      affinity for the tag;

Alternatively, RCA may be performed in solution and the product may be immobilized after amplification. For example, the same primer may be used for amplification and for immobilization. In another option, a modified dNTP carrying

5 an immobilization group may be incorporated during amplification and the amplified product may then be immobilized using the incorporated immobilization group. For example, biotin-dUTP, or aminoallyl-dUTP (Sigma) may be used.

10

In a fifth step, step 5, sequence determination:

- Determine the full or partial sequence of the various templates on the array using sequential hybridization of a panel of non-unique probes as described further

15   below.

- Optionally compare the sequence information for each template with a database of sequences representative of the sample under investigation thereby determining the relative proportion of each target within the sample

20   and/or determining any genetic or other structural differences with respect to the database.

Step X has been mentioned already above. It is a step of selection of fragment size range (ideally with very good

25 resolution - 1- 10% CV). Techniques that may be used include the following:

- By gel electrophoresis and elution using
    o PAGE with dsDNA
    o PAGE with ssDNA

30   o Agarose gel;

- By chromatography (e.g. HPLC, FPLC);
- Using an affinity tag, e.g. a 3'-biotin on cDNA.

These steps provide disclosure of preferred and optional
steps and ways of performing steps of a method in accordance
with aspects and embodiments of the present invention. All
combinations of disclosed features within the steps are
5 provided herein as aspects and embodiments of the present
invention as if set forth word-for-word herein.

The present invention is based on development of a novel
10 sequencing strategy that improves on previously described
sequencing methods while allowing for most of their
difficulties to be avoided. It is a strategy that is easy to
parallelize (no size fractionation is required) and that
provides the possibility for long read lengths.
15
A method in accordance with the present invention may
comprise three fundamental steps. First, a random array of
locally amplified template molecules is generated
(preferably in a single step) from a sample containing a
20 plurality of template strands. Second, the random array is
subjected to sequential hybridization with a panel of probes
with determination of the presence or absence of sequences
complementary to each probe in each amplified template on
the array. Third, the hybridization spectrum thus obtained
25 is compared to a reference sequence database with a method
that allows the determination of likely insertions,
deletions, polymorphisms, splice variants or other sequence
features of interest. The comparison step may be further
separated in a search step followed by an alignment step.
30

Random array synthesis

There are many approaches to providing amplified templates
at high density. First, amplified templates may be arrayed

by mechanical means, which however requires separate
amplification reactions for each individual template
molecule (thus limiting throughput and increasing cost).
Second, templates may be amplified *in situ* using in-gel PCR
5 (e.g. as described in US6485944 and Mitra RD, Church GM, "In
situ localized amplification and contact replication of many
individual DNA molecules", Nucleic Acids Research 1999:
27(24):e34), which however requires the use of a gel (thus
severely interfering with subsequent hybridization
10 reactions).

The present invention advantageously uses rolling-circle
amplification to synthesize random arrays in a single
reaction from a sample containing a plurality of template
15 molecules. Densities up to $10^5 - 10^7$ per mm^2 are achievable.
A random array synthesis protocol employed in embodiments of
the present invention may comprise:

a. Provide a surface (e.g. glass) with an activated
20 surface.
b. Attach primers, preferably via a covalent bond, or,
instead of a covalent bond, a strong non-covalent bond (such
as biotin/streptavidin) may be used.
b. Add circular single-stranded templates, preferably at a
25 density suitable for the detection equipment.
c. Anneal the templates to the primers.
d. Amplify using rolling-circle amplification to produce a
long single-stranded tandem-repeated template attached to
the surface at each position.
30
Lizardi et al. describe "Mutation detection and single-
molecule counting using isothermal rolling circle
amplification": Nature Genetics vol 19, p. 225.

Modifications to this procedure include preannealing the
circular template molecules to activated primers before
immobilization, and/or providing "open-circle" template
molecules which are circularized upon annealing to the
5 primer and closed using a ligation reaction.

A "suitable density" is preferably one that maximizes
throughput, e.g. a limiting dilution that ensures that as
many as possible of the detectors (or pixels in a detector)
10 detect a single template molecule. On any regular array, a
perfect limiting dilution will make 37% of all positions
hold a single template (because of the form of the Poisson
distribution); the rest will hold none or more than one.

15 For example, on a Tecan LS400 with a 6 μm pixel size, the
7.5x2.2 cm reaction surface holds 45 million pixels. With a
limiting dilution (Poisson distribution), 37% of those would
hold a single template, i.e. 17 million templates.
Sequencing 150 nucleotides on each template yields 2.5 Gb of
20 sequence in 150 cycles. With a cycle time of 5 minutes,
daily throughput is about 5 Gbp, equivalent to two full
sequences of the human genome. In practice, more than one
pixel may be needed to reliably detect a feature, but the
same reasoning holds whether the detector is a single pixel
25 or multiple pixels.

Templates suitable for solid-phase RCA should optimize the
yield (in terms of number of copies of the template
sequence) while providing sequences appropriate for
30 downstream applications. In general, small templates are
preferable. In particular, templates can consist of a 20 -
25 bp primer binding sequence and a 40 - 500 bp insert,
which may be a 40-150 bp insert. However, templates up to
500bp or up to 1000 bp or up to 5000 bp are also possible,

but will yield lower copy numbers and hence lower signals in the sequencing stage. The primer binding sequence may be used both to circularize an initially linear template and to initiate RCA after circularization, or the template may
5 contain a separate RCA primer binding site.

In order to increase the signal generated from rolling-circle amplified templates it may be necessary to condense them. Since an RCA product is essentially a single-stranded
10 DNA molecule consisting of as many as 1000 or even 10000 tandem replicas of the original circular template, the molecule will be very long. For example, a 100 bp template amplified 1000 times using RCA would be on the order of 30 μm, and would thus spread its signal across several
15 different pixels (assuming 5μm pixel resolution). Using lower-resolution instruments may not be helpful, since the thin ssDNA product occupies only a very small portion of the area of a 30 μm pixel and may therefore not be detectable. Thus, it is desirable to be able to condense the signal into
20 a smaller area.

In (Lizardi et al, cited above) the RCA product is condensed by using epitope-labeled nucleotides and a multivalent antibody as crosslinker. Alternative approaches include
25 biotinylated nulceotides cross-linked by streptavidin.

Alternatively, condensation may be achieved using DNA condensing agents such as CTAB (see e.g. Bloomfeld 'DNA condensation by nultivalent cations' in 'Biopolymers:
30 Nucleic Acid Sciences').

In order to immobilise the RCA primer oligonucleotides to a surface, many different approaches have been described (see e.g., Lindroos et al. "Minisequencing on oligonucleotide

arrays: comparison of immobilisation chemistries", Nucleic
Acids Research 2001: 29(13) e69). For example, biotinylated
oligos may be attached to streptavidin-coated arrays; NH_2-
modified oligos may be covalently attached to epoxy silane-
5 derivatized or isothiocyanate-coated glass slides,
succinylated oligos may be coupled to aminophenyl- or
aminopropyl-derived glass by peptide bonds, and disulfide-
modified oligos may be immobilised on mercaptosilanised
glass by a thiol/disulfide exchange reaction. Many more have
10 been described in the literature.

Resequencing by sequential hybridization of short probes

The sequencing approach of the present invention comprises
15 hybridization of a panel of probes, with match/mismatch
discrimination for each probe and target. The result is a
"spectrum" of each target. Furthermore, a reference sequence
is provided in which the spectrum is located and aligned so
that differences in the sequence of the target with respect
20 to the reference can be determined with high accuracy.

The panel of probes and the target length are optimized so
that the spectra can be used both (1) to locate
unambiguously each target sequence in the reference sequence
25 and (2) to resolve accurately any sequence difference
between the target and the reference sequence.

In order to fulfill the first requirement, the panel
contains enough information (in the information-theoretic
30 sense) to unambiguously locate the target. A single, long,
specific probe is sufficient to locate a single specific
target, but cannot be used since that would require separate
probes for each possible target. Instead, short non-unique
probes are used. An optimal panel would use probes with a

50% statistical probability of hybridizing to each target,
corresponding to 1 bit of information per probe. 50 such
probes would be capable of discriminating more that 1000
billion targets. Such panels have the additional advantage
5 of being resilient to error and to genetic polymorphisms.
Our experiments have shown that a panel of 100 4-mer probes
is capable of uniquely placing 100 bp targets in the human
transcriptome even in the presence of up to 10 SNPs.

10 In order to fulfill the second requirement, the panel of
probes must cover the target and must be designed such that
sequence differences result in unambiguous changes in the
spectrum. For example, a panel of all possible 4-mer probes
would completely cover any given target with four-fold
15 redundancy. Any single-nucleotide change would result in the
loss of hybridization of four probes and the gain of four
other characteristic probes.

The sensitivity of a probe panel can be calculated:
20

A *probe* is a mixture of one or more oligonucleotides. The
mixture and the sequence of each oligonucleotide defines the
specificity of the probe. The dilution factor of a probe is
the number of oligonucleotides it contains. The effective
25 specificity of a probe is given by the length of a non-
degenerate oligonucleotide with the same probability of
binding to a target. For example, a 6-mer probe consisting
of four oligonucleotides where the first position is varied
among all four nucleotides (i.e. is completely degenerate)
30 has an effective specificity of 5 nucleotides.

A *panel* is a set of k-mer probes with the property that any
given k long target is hybridized by one and only one probe

in the panel. Thus, a panel is a complete and non-redundant set of probes.

The *complexity* C of a probe panel is the number of probes in
5 the panel.

The *sensitivity* of a position within a panel is the set of different targets it can discriminate at that position. For example, a panel where the probes are either GC mixed or AT
10 mixed at a position (denoted GC/AT) is sensitive to G-A, C-A, C-T and G-T differences (i.e. transitions), but not to transversions (G to C etc).

When probing with a full panel of probes, each position in
15 the target is guaranteed to be probed by each position in the panel, i.e. by k staggered overlapping probes. However, the sensitivity of each position may be different, so that some differences in the target are only detectable by less than k probes.

20
For example, the panel given by
(GCAT) (GC/AT) (GC/AT) (G/C/A/T) (G/C/A/T) (GC/AT) (GC/AT) (GCAT)
has 8 positions (i.e. k = 8). The first and last position are completely degenerate, so no change in the target is
25 detected by those positions. Transitions (GC <-> AT) are detected by 6 positions, while transversions (GA <-> CT) are detected by only two positions in each probe. The effective specificity can be calculated by summing the effective specificity of each position: 0 + 0.5 + 0.5 + 1 + 1 + 0.5 +
30 0.5 + 0 = 4 bp.

For non-trivial targets, it will often be the case that probes are repeated in the target. Such probes lose their

sensitivity to changes at any single position, since they will still hybridize to the other.

Given the length L of the target, we can calculate the
5 probability (for each position in the target) that there is at least one probe sensitive to a change at that position. First, we need to find out how many probes are sensitive to the change of interest in a repeat-free target. Call this k_c; k_c is 6 for transitions and 2 for transversions in the
10 previous example.

Then, we note that the probability p(R) that any given probe is present in one or more of the other positions in the target (i.e. that it is repeated) is
15

$$P(R) = 1 - \left(\frac{C-1}{C}\right)^{L-1}$$

The probability p(S) that not all of the $2k_c$ sensitive probes are repeated is then
20
$$P(S) = 1 - P(R)^{2k_c}$$

The exponent is $2k_c$ because any change causes the disappearance of k_c probes and the appearance of k_c new probes.
25

We can now calculate the sensitivity given the target length. For example, C = 256, k_c = 2, L = 120 gives p = 98%, i.e. the panel with 256 probes is sensitive to 98% of all transversions (and 100% of transitions, k_c = 6). If we use
30 only half of the probes in the panel, so that the effective k_c = 1, then p = 86% for transversions and 99.7% for transitions (k_c = 3). The overall average sensitivity in a

22

species like the human (which has 63% transitions) would be 95%.

The theory is strictly valid as long as the number of SNPs
5 is low compared with the target length - i.e. as long as multiple SNPs do not occur within the length one probe. In practical experiments this is almost always true: for example, human genomic DNA contains about 1 SNP per 1000 nucleotides, and two SNPs within 7 bases is thus very
10 unlikely.

In practice, we may require at least two sensitive probes to score a SNP (i.e. because hybridization data is error-prone). In that case, the probability $P(S)$ becomes $1 -$
15 $p(R)^{2kc-1}$ and the calculations are again straightforward.

When working with subsets of panels (in order to save time and reagents), it may desirable to nevertheless guarantee that any position in the target is probed on one strand or
20 the other. In other words, we seek a subset of probes such that any k-mer which is not probed is guaranteed to be probed on the opposite strand. Such subsets can be obtained by placing (G/A), (C/T), (G/T) or (C/A) in the middle position. For example (G/A) will fail to probe G and A in
25 the target, in which case the opposite strand is guaranteed to be either C or T, which are probed. Other variations are possible.

The (GC/AT) degenerate position has two desirable features.
30 First, it guarantees that the individual oligos in each probe have similar melting point (since they will either be all GC or all AT). Second, the position will be sensitive to transitions which represent 63% of all SNPs in humans.

Hybridization of short oligomer probes

In the present invention, it is envisaged that a panel of probes is sequentially hybridized to the targets. In order
5 to limit the complexity of the panel of probes, it is desirable to keep the probes short, preferably to have only 3 - 6 bp effective specificity. Here we describe the requirements for hybridizing short oligomer probes.

10 The probes are stabilized in order for them to hybridize effectively, or at all. In addition, stabilization may help the probe compete with any internal secondary structure that may be present in the target. Stabilization can be achieved in many different ways.

15 • Through stabilizing additives in the hybridization reaction, for instance salt, CTAB, magnesium, stabilizing proteins.

• Through the addition of degenerate positions that extend the length of the probe without increasing its
20 complexity. For example, a 6-mer probe extended with an 'N' positition would really be a mixture of four oligonucleotides, each 7 bases long. A (GC/AT) position – indicating a mix of G and C or a mix of A and T – would extend the probe by one base while only doubling
25 the complexity (instead of quadrupling it).

• Through modification of the probe chemistry, for example by means of locked nucleic acid (Exiqon, Denmark), peptide nucleic acid and or minor groove binder (Epoch Biosciences, US).

30 • A combination of the above, for example a degenerate probe with LNA hybridized in CTAB buffer.

Of these, the first will also stabilize the target (thus
potentially inducing stable secondary structures which
prevent hybridization). Methods that stabilize the probe
selectively are preferred.

5

Detecting hybridization

Many approaches are known for detecting hybridization.

10   • Direct fluorescence. The probe is labeled and
       hybridization is detected by the increased local
       concentration of probes hybridized to the target. This
       may require high magnification, confocal optics or
       total internal reflection excitation (TIRF).

15   • Energy transfer. The probe is labeled with a quencher
       or donor and the target is labeled with counterpart
       donor or quencher. Hybridization is detected by the
       decrease of donor fluorescence and/or the increase in
       quencher fluorescence.

20   • Single-base extension. The hybridized probe serves as
       primer for a single base extension reaction
       incorporating fluorescent dye (alternatively, released
       PPi maybe detected as in Pyrosequencing).

25 A preferred approach is described:

   The probe is labeled by a fluorophor detectable in an
   epifluorescence microscope or a laser scanner, for example
   Cy3. Many other suitable dyes are commercially available.
30 The probe is hybridized to the array at a concentration
   optimized to permit detection of the local increase in
   concentration at a hybridized array feature, over the
   background present in all the liquid. For example, 400 nM

may be used, or the probe may be hybridized at 1 nM up to 500 nM or even 500 nM up to 5 µM depending on the optical setup. The advantage of this detection scheme is that it avoids a washing step, so that detection can proceed at
5 equilibrium hybridization conditions, which facilitates match/mismatch discrimination.

An energy transfer approach is described:

10 The target carries a permanently hybridized helper oligonucleotide with a fluorescence donor. The helper is designed to withstand washes that would melt away the short probes. The probes carry a dark quencher. For example, the donor may be fluorescein and the quencher Eclipse Dark
15 Quencher (Epoch Biosciences). Many other donor/quencher pairs are known (see e.g. Haugland, R.P., 'Handbook of fluorescent probes and research chemicals', Molecular Probes Inc., USA). In general, it is desirable to have a probe with a long Förster radius, capable of quenching over long
20 distances. Hybridization is detected by the quenching of the donor fluorophor upon hybridization of the probe.

Spectral search and alignment

25 Given the spectrum of a target, we first seek the location of the target within the reference sequence, allowing for sequence differences. The search can be performed by simply scanning the reference sequence with a window of the same size as the target, computing an expected spectrum for each
30 position and comparing the expected spectrum with the observed spectrum at the position. The highest-scoring position or positions are returned.

Because the method of the invention generates very large
numbers of hybridization spectra in a short time, it is
important to optimize the search step. For example, in a
current implementation, spectral search proceeds at 1.2
5 billion matches per second on a high-end workstation, and we
estimate that ten workstations will be required to keep up
with a single sequencing instrument. It is another aspect of
the invention to accelerate the search using programmable
hardware, i.e. field-programmable gate arrays (FPGA). By
10 translating the search algorithm to Mitrion-C (Mitrion AB,
Sweden), an acceleration of 30 times can be achieved using
just two FPGA chips in a single workstation computer.

Once one or more likely locations have been found, we seek a
15 modification to the reference sequence that will explain any
discrepancies between the observed and expected spectra. We
may at this stage introduce relevant modifications to the
reference sequence, e.g. SNPs, short indels, long indels,
microsatellites, splice variants etc. For each modification
20 or combination of modifications, we again compute a score
for the similarity between the observed and expected
spectra. The most likely modified reference sequence or
sequences are returned. Methods for searching very large
parameter spaces are known in the art, e.g. Gibbs sampling,
25 Markov-chain Monte Carlo (MCMC) and the Metropolis-Hastings
algorithm.

When comparing spectra, a simple binary overlap score may be
used (scoring 1 for each probe that either does or does not
30 hybridize in both spectra, 0 otherwise), or a more
sophisticated statistical approach may use gradual or
probabilistic measures of spectral overlap.

Where multiple targets locate to the same position in the
target, higher-level analysis may then be performed to
assess the confidence in any sequence differences.

5 *An apparatus for automated high-throughput sequencing*

Methods according to the present invention are particularly
suitable for automation, since they can be performed simply
by cycling a number of reagent solutions through a reaction
10 chamber placed on or in a detector, optionally with thermal
control.

In one example, the detector is a CCD imager, which may for
example be operating by white light directed through a
15 filter cube to create separate excitation and emission light
paths suitable for a fluorophore bound to each target. For
instance, a Kodak KAF-16801E CCD may be used; it has 16.7
million pixels, and an imaging time of ~2 seconds. Daily
sequencing throughput on such an instrument would be up to
20 10 Gbp.

The reaction chamber provides:
   • easy access for the optics.
   • a closed reaction chamber.
25   • an inlet for injecting and removing reagents from the
     reaction chamber.
   • an outlet to allow air and reagents to enter and exit
     the chamber.

30 A reaction chamber may be constructed in standard microarray
slide format as shown in Figure 3, suitable for being
inserted in an imaging instrument. The reaction chamber can
be inserted into the instrument and remain there during the

entire sequencing reaction. A pump and reagent flasks supply
reagents according to a fixed protocol and a computer
controls both the pump and the scanner, alternating between
reaction and scanning. Optionally, the reaction chamber may
5 be temperature-controlled. Also optionally, the reaction
chamber may be placed on a positioning stage to permit
imaging of multiple locations on the chamber.

A dispenser unit may be connected to a motorized valve to
10 direct the flow of reagents, the whole system being run
under the control of a computer. An integrated system would
consist of the scanner, the dispenser, the valves and
reservoirs and the controlling computer.

15 In accordance with a further aspect of the invention there
is provided an instrument for performing a method of the
invention, the instrument comprising:
        an imaging component able to detect an incorporated
        or released label,
20   a reaction chamber for holding one or more attached
        templates such that they are accessible to the imaging
        component at least once per cycle,
        a reagent distribution system for providing
        reagents to the reaction chamber.
25
    The reaction chamber may provide, and the imaging component
    may be able to resolve, attached templates at a density of
    at least $100/cm^2$, optionally at least $1000/cm^2$, at least 10
    $000/cm^2$ or at least $100\ 000/cm^2$, or at least $1\ 000\ 000/cm^2$,
30 at least $10\ 000\ 000/cm^2$ or at least $100\ 000\ 000$ per cm^2.

    The imaging component may for example employ a system or
    device selected from the group consisting of photomultiplier
    tubes, photodiodes, charge-coupled devices, CMOS imaging

chips, near-field scanning microscopes, far-field confocal
microscopes, wide-field epi-illumination microscopes and
total internal reflection miscroscopes.

5 The imaging component may detect fluorescent labels.

The imaging component may detect laser-induced fluorescence.

In one embodiment of an instrument according to the present
10 invention, the reaction chamber is a closed structure
comprising a transparent surface, a lid, and ports for
attaching the reaction chamber to the reagent distribution
system, the transparent surface holds template molecules on
its inner surface and the imaging component is able to image
15 through the transparent surface.

A further aspect of the invention provides a random array of
single-stranded DNA molecules, wherein
        each said molecule consists of at least two tandem-
20 repeated copies of an initial sequence,
        each said molecule is immobilized on a surface at
random locations with a density of a density of between 10^3
and 10^7 per cm^2, preferably between 10^4 and 10^5 per cm^2, or
preferably between 10^5 per cm^2 and 10^7 per cm^2,
25   each said initial sequence represents a random fragment
from an initial target DNA or RNA library comprising a
mixture of single- or double-stranded RNA or DNA molecules,
        said initial sequences of all said DNA molecules have
approximately the same length.
30
        Generally, the molecules will comprise at least 100 tandem-
repeated copies of an initial sequence, usually at least
1000, or at least 2000, preferably up to 20 000. The
molecules may comprise 50 or more tandem-repeated copies of

an initial sequence, which is detectable using standard
microscopy.

Preferably, the initial sequences have the same length
5 within 50% CV, preferably 5-50% CV, preferably within 10%
CV, preferably within 5% CV i.e. such that the distribution
is such that the coefficent of variation (CV) is e.g. 5%. CV
= standard deviation divided by the mean. The initial
sequences may have the same length.
10

The initial target library may for example be or comprise
one or more of an RNA library, an mRNA library, a cDNA
library, a genomic DNA library, a plasmid DNA library or a
library of DNA molecules.
15

A further aspect of the invention provides a set or panel of
probes wherein
        each probe consists of one or more oligonucleotides,
        each said oligonucleotide is stabilized,
20   each said oligonucleotide carries a reporter moiety,
        the effective specificity of each probe is between 3
and 10 bp,
        the set of probes statistically hybridizes to at least
        10% of all positions in a target sequence.
25

The effective specificity may be between 4 and 6 bp. The
effective specificity may be 3, 4, 5, 6, 7 8, 9 or 10 bp.

The set of probes may statistically hybridize to at least
30 25%, at least 50%, at least 90% of all positions in a target
sequence, or to 100% of all positions in a target sequence.

The set of probes may hybridize to 100% of all positions in
a target sequence or its reverse complement, such that each

31

position in the target or the reverse complement of the
target at that position is hybridized by at least one probe
in the set.

5 The target sequence may be an arbitrary target sequence.

A set of probes according to the invention may be stabilised
by one or more of introduction of degenerate positions,
introduction of locked nucleic acid monomers, introduction
10 of peptide nucleic acid monomers and introduction of a minor
groove binder.

The reporter moiety may for example be selected from the
group consisting of a fluorophor, a quencher, a dark
15 quencher, a redox label, and a chemically reactive group
which can be labeled by enzymatic or chemical means, for
example a free 3'-OH for primer extension with labeled
nucleotides or an amine for chemical labeling after
hybridization.
20
Examples of Applications

Gene expression profiling
By sequencing cDNA fragments at random, the expression level
25 of the corresponding RNA can be quantified by counting the
number of occurrences of fragments from each RNA. Structural
features (splice variants, 5'/3' UTR variants etc.) and
genetic polymorphisms can be simultaneously discovered.

30 Genetic profiling
Shotgun sequencing of whole genomes can be used to genotype
individuals by noticing the occurrence of sequence
differences with respect to the reference genome. For
example, SNPs and indels (insertion/deletion) can easily be

discovered and genotyped in this way. In order to
discriminate heterozygotic sites, dense fragment coverage
may be required to ensure that both alleles will be
sequenced.

5

Further aspects and embodiments of the present invention
will be apparent to the skilled person in the light of the
present disclosure. All documents cited anywhere in the
specification are incorporated by reference.

10

EXAMPLE 1
PREPARING DNA TEMPLATES FOR CANTALOUPE

15 <u>Input</u>

Double stranded DNA template.

<u>Template fractionation:</u>

20

We used the restriction enzyme CviJ I* (EURx, Poland), that
recognizes 5'-GC-3' and cuts blunt in between. We set up
restriction reactions as follows:

1 ug Template	1.5 ug Template	2 ug Template
2x reaction buffer 25 ul	2x reaction buffer 25 ul	2x reaction buffer 25 ul
0.3 units CviJ I*	0.3 units CviJ I*	0.3 units CviJ I*
Water to 50 ul	Water to 50 ul	Water to 50 ul
Total volume 50 ul	Total volume 50 ul	Total volume 50 ul

25

Reactions were incubated for 1 hour at 37° C.

The cleaved DNA was purified with PCR cleanup kit (Qiagen)
according to manufacturer's protocol.

5 We analyzed a fraction on a 2% agarose gel and identified
the optimal reaction conditions for the specific batch of
template and enzyme (see Figure 1, lanes 4 - 8).

We repeated the optimal cleavage reaction to get a total of
10 5 ug DNA (Figure 1, lane 1).

Template size selection:

We purified the DNA on an 8% non denaturing PAGE (40 cm
15 high, 1 mm thick). Each well was loaded with no more than
1µg of DNA, and a 95-105 ladder was included, indicating the
region of interest. The ladder consisted of 3 PCR fragments,
at 95, 100 and 105 base pairs.

20 We stained the gel with SYBR gold and analyzed the result on
a scanner, cut out the region of interest (95-105 bp) and
electro-eluted the desired range of DNA with ElutaTube™
(Fermentas) according to manufactures protocol.

25 Adaptor ligation:

One adaptor was used for ligation.

        5' GCAGAATGC**GCGGCCGC**CTTAG 3'
30   3' CGTCTTACG**CGCCGGCG**GAATC 5'

It contained 5' phosphates and an internal Not I site.

We prepared the following ligation mixture

| 1 pmol of DNA (60-70 ng of fractionated sample) |
| 25 pmol adaptor |
| Quick ligation buffer (NEB) 20 ul |
| Water up to 40 ul |
| Quick ligase (NEB) 2 ul |
| Total volume 42 ul |

Incubated at 25° C for 15 minutes.

5 Purified using PCR cleanup (Qiagen) according to manufacturer's protocol. See Figure 2.

Restriction digest Not I:

10 We set up the following reaction:

| Ligated DNA (all of it) |
| 10x buffer (NEB) 10 ul |
| 100x BSA 1 ul |
| Water up to 95 ul |
| Not I (50 units) 5 ul |

Incubated at 37° C for 4 hours or overnight.

Purified sample using PCR cleanup (Qiagen) according to manufactures protocol.

We repeated the purification with PCR cleanup to remove as
5 much as possible of excess adaptors.

Circularization of templates:

We formed single stranded circles by denaturing the samples
10 in the presence of linker oligo
5'-CGTCTTACGCGCCGGCGGAATCCGTCTTACGCGCCGGCGGAATC-3'.

We mixed the following

Ligated and Not I cut sample (everything)
5 pmol of linker oligo
Water up to 50 ul

15

Heated to 93° C for 3 minutes, put on ice until cold, quick spin.

Added 50 ul of 2x Quick ligation buffer (NEB) and 1 ul of
20 Quick ligase (NEB), mixed briefly.

Incubated 25° C for 15 minutes.

At this stage the circles are formed and the samples can go
25 on for RCA. See Figure 3.

Immobilization:

5 µM RCA primer (identical to the circularization linker
with an additional 5'-AAAAAAAAAA-C6-NH-3' tail, where C6 is

5   a six-carbon linker and NH is an amine group) was
immobilized on SAL-1 slides (Asper Biotech, Estonia) in 100
mM carbonate buffer pH 9.0 with 15% DMSO.

Incubated at 23°C for 10 hours.

10
Remaining active sites on the slide surface were blocked by
first soaking in 15 mM glutamic acid in carbonate buffer (as
above, but 40 mM) at 30°C for 40 minutes, then soaking in 2
mg/ml polyacrylic acid, pH 8.0 in room temperature for 10

15 minutes.

Circular templates were annealed at 30°C in buffer 1 (2xSSC,
0.1%SDS) for 2 hours, then washed in buffer 1 for 20
minutes, then washed in buffer 2 (2xSSC, 0.1% Tween) for 30

20 minutes, then rinsed in 0.1xSSC, then rinsed in 1.5 mM
$MgCl_2$.

Amplification:

25 Rolling-circle amplification was performed for 2 hours in
Phi29 buffer, 1 mM dNTP, 0.05 mg/mL BSA and 0.16 u/µL Phi29
enzyme (all from NEB, USA) at 30°C.

Reporter oligonucleotide complementary to the
30 circularization linker and labelled with 6-FAM was annealed
as above, followed by soaking in buffer 3 (5 mM Tris pH 8.0,
3.5 mM $MgCl_2$, 1.5 mM $(NH_4)_2SO_4$, 0.01 mM CTAB). Figure 4 shows
a small portion of a slide with individual RCA products
clearly visible.

Probe panel hybridization:

Each probe was designed according to the following scheme:
(GCAT)(GC/AT)(GC/AT)(G/C/A/T)(GC/AT)(G/C/A/T)(GC/AT), each
with locked nucleic acid (Exiqon, Denmark) at positions 2, 4
and 6 and with Eclipse dark quencher (Epoch Biosciences,
USA) at the 3' end.

Probes were hybridized in buffer 3 at 100 nM. A temperature
ramp was used for each probe to discover the optimal
temperature for match/mismatch discrimination. Figure 5
shows the result of hybridization of two match/mismatch
pairs.